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2133

In re the Application

Inventor(s): Kuniyuki KAJITA

Art Unit: 2133

Appln. No.: 09/701,433

Exr. P. M. Chung

Filed: November 29, 2000

For: RADIO COMMUNICATION APPARATUS AND CODING  
PROCESSING METHOD

CERTIFICATION UNDER 37 CFR §1.97(e)(1)  
AND TRANSMITTAL FEE UNDER 37 CFR 1.17(p)

Assistant Commissioner of Patents  
Washington, DC 20231

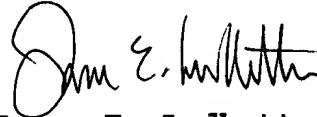
Dear Sir:

Applicants respectfully request pursuant to 37 CFR 1.97(d)(1) and (2), that the attached Information Disclosure Statement be entered. Please charge the fee of \$180.00 required by 37 CFR 1.17(p) to Deposit Account No. 19-4375.

In fulfillment of 37 CFR 1.97(d)(1) and (2) and 37 CFR 1.97(e)(1), it is hereby certified that each item of information contained in the attached Information Disclosure Statement was first cited in any communication (see the attached copy of a European Search Report dated April 11, 2005) from a foreign patent office in a counterpart foreign application not more than three

months prior to the filing of this Information Disclosure  
Statement.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: May 16, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application

Inventor(s): Kuniyuki KAJITA

Art Unit: 2133

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Exr. P. M. Chung

Filed: November 29, 2000

For: RADIO COMMUNICATION APPARATUS AND CODING  
PROCESSING METHOD

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Pursuant to Rule 56, applicants hereby call to the attention of the Patent Office to the references listed on the attached Form PTO 1449. All of these references were cited in an European Search Report dated April 11, 2005. WO '628 is already of record (IDS filed September 2, 2003).

Applicants present these references so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.

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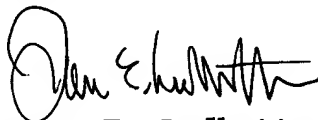
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The references listed on the attached Form 1449 are being filed now in view of the fact that a European Search Report (dated April 11, 2005) in a counterpart application has just been received.

A Certification under Rule 97(e)(1) and Petition under Rule 97(d)(2) are being filed concurrently herewith. Applicants respectfully request that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: May 16, 2005

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U.S. Department of Commerce  
Patent and Trademark Office

ATTY. DOCKET NO.

SERIAL NO.

**L9289.00121**

**09/701.433**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

**APPLICANT**

**Kuniyuki KAJITA**

FILING DATE

**November 29, 2000**

GROUP

2133

MAY 16 2005

PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**European Search Report dated April 11, 2005.**

**A. Toskala, et al., "Frames FMA2 Wideband-CDMA for UMTS," European Transactions on Telecommunications, Eurel Publication, Milano, IT, vol. 9, no. 4, XP000778096, pages 325-335, July 1, 1998.**

E. Nikula, et al., "Frames Multiple Access for UMTS and IMT-2000," IEEE Personal Communications, IEEE Communications Society, US, vol. 5, no. 2, XP000751831, pages 16-24, April 1998.

**"3<sup>rd</sup> Generation Partnership Project: Technical Specification Group Radio Access Network; Multiplexing and Channel Coding (FDD) (3G TS 25.212 Version 3.1.0)," 3G TS 25.212v3.1.0, Valbonne, France, [www.3gpp.org](http://www.3gpp.org), XP002232780, pages 1-51, December 1999.**

**EXAMINER**

DATE CONSIDERED

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.